



ALEXANDER Y YON

Account Number 83Z 15998

**YOUR CMA ASSETS**

October 01 2024 October 31 2024

**CASH/MONEY ACCOUNTS**

Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est Annual Yield%
CASH	0 14	0 14		<b>14</b>		
+ML DIRECT DEPOSIT PROGRAM	17 00	17 00	1 0000	<b>17 00</b>		01
+FDIC INSURED NOT SIPC COVERED						
<b>TOTAL</b>		17 14		<b>17 14</b>		

**EQUITIES**

Description	Symbol	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income
META MATERIALS INC REGISTERED SHS	MMATQ	1 0000	194 88	0 0710	<b>07</b>	(194 81)	
NVIDIA CURRENT YIELD 0 030%	NVDA	300 0000	8 026 30	132 7600	<b>39,828 00</b>	31 801 70	<b>13</b>
TESLA INC	TSLA	1 698 0000	682 379 04	249 8500	<b>424,245 30</b>	(258 133 74)	
VINCO VENTURES INC	BBIG	21 0000	966 47	0 0004	<b>01</b>	(966 46)	
<b>TOTAL</b>			691 566 69		<b>464,073 38</b>	(227 493 31)	<b>13</b>

Equity Cost Basis details are available on the Statements and Documents page of [www.merrilledge.com](http://www.merrilledge.com)**RESEARCH RATINGS**

Security	Symbol	BofAML Research	Morningstar	CFRA
NVIDIA	NVDA	Buy (C17)	Hold	Buy
TESLA INC	TSLA	Buy (C19)	Hold	Hold

PLEASE REFER TO THE BACK OF YOUR STATEMENT FOR A GUIDE TO BofAML AND THIRD PARTY RESEARCH RATINGS

**LONG PORTFOLIO**

	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income
<b>TOTAL</b>	691 583 83	<b>464,090 52</b>	(227 493 31)		<b>13</b>

+

**E\*TRADE Securities**

Investment Account

**TRADE CONFIRMATION****Account Number** XXXX 0075**E\*TRADE Securities LLC**P O Box 484  
Jersey City NJ 07303 0484**Account Name**ALEXANDER YON  
ROTH IRA E\*TRADE CUSTODIAN**Customer Update**All your tax info in one place Forms 1099 for 2022 FAQs key deadlines cost basis info and more find them all in our Tax Center at [etrade.com/tax](https://etrade.com/tax)

TRADE DATE	SETL DATE	MKT CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
03/16/23	03/20/23	6 1	MMAT	BUY	4 000	\$ 4995	Cash	PRINCIPAL	\$1 998 00
<b>META MATLS INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$1 998 00</b>

▲ DETACH HERE

ALEXANDER YON  
ROTH IRA E\*TRADE CUSTODIAN  
1462 DESOTO RD  
BALTIMORE MD 21230 1202

DETACH HERE ▲

**Use This Deposit Slip Acct XXXX-0075**To contribute to your IRA, please use our online Transfer Money service at [www.etrade.com/transfermoney](https://www.etrade.com/transfermoney) or this deposit slip

Check Amount \$ \_\_\_\_\_

Year of Contribution

\_\_\_\_\_ 2023

\_\_\_\_\_ 2022

\_\_\_\_\_ Rollover

Make checks payable to E\*TRADE Securities LLC  
Mail deposits toE\*TRADE Securities LLC  
P O Box 484  
Jersey City NJ 07303 0484

031620230001 333437900751



Account cleared by  
Apex Clearing Corporation  
350 N. St. Paul Street 1300  
Dallas Texas 75201

Account Number 5IB 70670

Account Name ALEXANDER YONGSIK YON

Acct Type	B/S	Trade Date	Settle Date	QTY	SYM	PRICE	Principal	COMM	Tran Fee	Add'l Fees	Tag Number	Net Amount	Trade#	M C K A T P
1	S	01/10/22	01/12/22	5	BBLG	5.4400000	27.20	0.00	0.02	0.00	P8104	27.18	WEA0112	6 1
Desc BONE BIOLOGICS CORP COMMON STOCK														
Currency USD ReportedPX Interest/STTax 0.00 CUSIP 098070303														
Trailer MarkUp/Down														
1	B	01/10/22	01/12/22	12	MMAT	2.2450000	26.94	0.00	0.00	0.00	Q5930	26.94	WEA0112	6 1
Desc META MATLS INC COMMON STOCK														
Currency USD ReportedPX Interest/STTax 0.00 CUSIP 59134N104														
Trailer MarkUp/Down														

SUMMARY FOR CURRENT TRADE DATE

01/10/22

TOTAL SHARES BOUGHT

12.00

TOTAL DOLLARS BOUGHT

26.94

TOTAL SHARES SOLD

5.00

TOTAL DOLLARS SOLD

27.18

Apex Clearing Corporation  
350 N St Paul Street 1300  
Dallas TX 75201



**Webull**  
Investment

Account Number 5IB 70670

OFFICE SERVING YOU  
WEBULL FINANCIAL LLC - HOUSE  
917-725-2448

ALEXANDER YONGSIK YON  
4516 REBECCA CT  
ELLCOTT CITY MD 21043-6098

### Transaction Confirmation

THANK YOU for letting Apex Clearing Corporation ( Apex ) serve you (the Customer 'you' or your ) directly or through your brokerage firm (the Introducing Broker ) or registered investment advisor ( RIA ). Amounts or securities due must be received in Apex's office on or before the settlement date shown.

- All orders are received and executed subject to the rules, regulations and customs of the SEC, FINRA, MSRB, FRB and the exchange or market where the order is entered. The provisions of the Securities Exchange Act of 1934 and Apex, if any.
- Securities purchased and securities pledged as margin are or may be hypothecated for the sum due thereon or for a greater sum under circumstances which will permit the commingling thereof with securities of other customers, all without further notice to the Customer.
- If shares loaned for a short sale are no longer available, Apex reserves the right to decide by random selection which positions will be subject to a buy in.
- Upon written request and where available, further details of items herein may be provided including the execution date and time, the counterparty when acting as agent, the detailed breakdown of any commissions and fees and the remuneration details, if any, to Apex, or your Introducing Broker or RIA for directing orders to select market centers or market participants.
- To the extent any bonds, asset backed, preferred, or other securities mentioned herein have provisions that may cause a call or prepayment, the details and the effect on the yield shown here are available on written request. In addition, zero coupon bonds do not have periodic payments, may be callable below maturity value and if callable and in bearer form, are callable without notice by mail unless registered.
- All transactions on this confirmation are presumed to be unsolicited unless noted otherwise on this trade confirmation.
- Any ratings data (which is subject to change) that may be provided has been obtained from ratings services which Apex believes to be reliable; however, there is no guarantee of accuracy or completeness.
- Good Till Canceled ( GTC ) orders are "live" during regular trading hours. GTC orders are canceled by Apex each business day (canceled at the exchange or market center) at 4:00pm EDT, with the exception of certain broad-based ETF options that trade until 4:15pm EDT. GTC orders are resubmitted by Apex at the start of each trading day (prior to 9:30am EDT). Time Priority will be reset each day as the order arrives at the exchange or market center. If a GTC order receives a partial execution and is not fully executed, the order quantity will adjust to the remaining quantity for the next business day. Commissions may be charged each day that the order receives one or more partial executions. Please contact Apex, your Introducing Broker or RIA for more specific details. Until cancellation of the GTC order, all open orders will be considered good. When entering a substitute order or changing an existing order, the responsibility for cancelling the original GTC order rests upon the Customer, Introducing Broker or RIA with discretionary trading authority. Therefore, if a Customer, Introducing Broker or RIA with discretionary trading authority fails to cancel an existing order, transactions resulting from the execution of both the original and new order(s) may be entered into Customer's account.
- Failure of Customer to notify Apex in writing within five days of the receipt of this document of any concerns constitutes an acceptance of the transaction.
- Mutual Funds: You may be eligible for breakpoint discounts based on the size of your purchase, current holdings or future purchases. Upon selling your shares, you may pay a sales charge. For more detailed information and other data on fees, etc., see the Prospectus, the Statement of Additional Information or contact your Introducing Broker or RIA.
- The default Cost Basis Election or tax relief method used by Apex for tax reporting is First In First Out ( FIFO ) for Equities and Bonds and Average cost for Mutual Funds. Please contact your Introducing Broker if you wish to change the default tax relief method for your account or specify different tax lots for liquidation.
- Underwriting (market 7) the securities described herein are being sold pursuant to a registration statement or where prospectus is required.
- Market Maker: where the phrase "Market Maker" (or similar language) appears on the front of this confirmation, your Introducing Broker is making a market in this security, i.e., buying or selling such security for its own account on a regular or continuous basis. It may, however, discontinue the maintenance of such market at any time without notice.
- Asset-Backed Securities: the actual yield received may vary according to the rate at which the underlying receivable or other financial assets are prepaid. Information concerning the factors that affect yield, including the estimated yield, the weighted average life and the prepayment assumptions underlying the yield, will be provided by your Introducing Broker or RIA upon written request.
- Mortgage Backed Securities transactions are subject to the PSA Uniform Practices for Clearance and Settlement of Mortgage Backed Securities.
- Apex receives remuneration for directing orders to particular broker/dealers or market centers for execution. Such remuneration is considered compensation to the firm. The source and nature in connection with your transaction may be disclosed upon written request. Your Introducing Broker that clears trades through Apex, will share in such payments or may directly receive payment for order flow for certain transactions. Details may be furnished upon written request.
- Backup Withholding - If you have not provided us with your correct social security number / tax ID number under federal law, you may be subject to a \$50 penalty as well as backup withholding on certain payments.
- Broker-dealers are assessed fees by various market centers on certain securities transactions and Apex charges transaction fees to cover these assessments. While Apex endeavors to collect amounts roughly equivalent to what Apex expects, Apex's assessments to be the actual amounts Apex charges as fees may be different than the amounts Apex is assessed by those market centers. Specific details regarding these fees may be available upon written request.
- If the official statement/prospectus is available, you can view them at [emma.msrb.org](http://emma.msrb.org). In the event the official statement/prospectus is not available on EMMA, your Introducing Broker and/or Apex has an obligation to provide you with information during the primary offering period but no later than settlement date.
- Payment of Interest to holders of Municipal Securities: you may be subject to a substitute interest payment if the transfer of ownership of your municipal security has not been completed prior to the next interest payment. Please contact a tax professional for more specific details.

<b>MKT = Market in which transaction was Executed/Cleared</b>	<b>Buy/Sell Codes:</b>	<b>Account Types:</b>	<b>Currency Codes:</b>	<b>CAP=Capacity in which your Introducing Broker or Apex has acted</b>
1 New York Stock Exchange	B = Buy	1-Cash	AUD Australian \$	1 2 4 5 8 - As AGENT
2 NYSE Arca	S = Sell	2 Margin	CAD Canadian \$	3 As PRINCIPAL, your broker or Apex has bought from you or sold to you and may have received a profit or loss on the transaction
3 Other US Exchanges	X=B = Cancel Buy	3 Income	EUR Euro	6 As AGENT for both broker and seller
4 Mutual Funds	X=S = Cancel Sell	4 Non Neg. tabl	GBP British Pounds	7 A PRINCIPAL, your Broker or Apex has bought from you or sold to you and may have received a profit or loss on the transaction
5 Options		5 Short	HKD Hong Kong \$	9-Other
6 Other th Counter/NASDAQ		6 Spec 1 Subscription	JPY Japan Yen	0 Multiple cap (two or more of ag nt, principal & agent for another)
		7 Description Available on Req	MXN Mexican Pe o	67 - Riskless Principal
		8 Description Available on Req	NZD New Zealand \$	A - As Agency
		9 RVP/DVP	Add 1 Currencies	B - A. Principal

**ACCOUNTS CARRIED BY APEX CLEARING CORPORATION**  
MEMBER FINRA SIPC & NYSE (214) 765-1015 FAX ID #13- 967453

S1010B91 05/21



Account cleared by  
Apex Clearing Corporation  
350 N St Paul Street 1300  
Dallas Texas 75201

Account Number 5IB-70670

Account Name ALEXANDER YONGSIK YON

Acct Type	M/S	Trade Date	Settle Date	QTY	SYM	PRICE	Principal	COMM	Tran Fee	Add'l Fees	Tag Number	Net Amount	Trade#	M C K A T P
1	S	11/04/21	11/08/21	500	ARTL	1 1900000	595 00	0 00	0 07	0 00	C6955	594 93	WEA1108	6 1
Desc ARTELO BIOSCIENCES INC COMMON STOCK														
Currency USD ReportedPX														
Interest/STTax 0 00 CUSIP 04301G201														
Markup/Down														
Trailer														
1	S	11/04/21	11/08/21	10	AUVI	5 4700000	54 70	0 00	0 02	0 00	J2262	54 68	WEA1108	6 1
Desc APPLIED UV INC COMMON STOCK														
Currency USD ReportedPX														
Interest/STTax 0 00 CUSIP 03828V105														
Markup/Down														
Trailer														
1	S	11/04/21	11/08/21	10	ALF	4 8620000	48 62	0 00	0 02	0 00	I3101	48 60	WEA1108	6 1
Desc ALFI INC COMMON STOCK														
Currency USD ReportedPX														
Interest/STTax 0 00 CUSIP 00161P109														
Markup/Down														
Trailer														
1	S	11/04/21	11/08/21	1	COTY	9 0200000	9 02	0 00	0 02	0 00	H3394	9 00	WEA1108	6 1
Desc COTY INC COM CL A														
Currency USD ReportedPX														
Interest/STTax 0 00 CUSIP 222070203														
Markup/Down														
Trailer														
1	S	11/04/21	11/08/21	20	FBIO	3 4950000	69 90	0 00	0 02	0 00	I2795	69 88	WEA1108	6 1
Desc FORTRESS BIOTECH INC														
Currency USD ReportedPX														
Interest/STTax 0 00 CUSIP 34960Q109														
Markup/Down														
Trailer														
1	B	11/04/21	11/08/21	18	MMAT	4 8979000	88 16	0 00	0 00	0 00	N2306	88 16	WEA1108	6 1
Desc META MATLS INC COMMON STOCK														
Currency USD ReportedPX														
Interest/STTax 0 00 CUSIP 59134N104														
Markup/Down														
Trailer														
1	B	11/04/21	11/08/21	1	NVDA	295 0600000	295 06	0 00	0 00	0 00	J2111	295 06	WEA1108	6 1
Desc NVIDIA CORP														
Currency USD ReportedPX														
Interest/STTax 0 00 CUSIP 67066G104														
Markup/Down														
Trailer														
1	S	11/04/21	11/08/21	1	OPK	4 1650000	4 17	0 00	0 02	0 00	H3879	4 15	WEA1108	6 1
Desc OPKO HEALTH INC														
Currency USD ReportedPX														
Interest/STTax 0 00 CUSIP 68375N103														
Markup/Down														
Trailer														

ProcessDate 11/04/2021

Page 1

Account Number 5IB 70670

Account Name ALEXANDER YONGSIK YON

Acct Type	B/S	Trade Date	Settle Date	QTY	SYM	PRICE	Principal	COMM	Tran Fee	Add'l Fees	Tag Number	Net Amount	Trade#	M C K A T P
1	S	11/04/21	11/08/21	10	SNOA	5.9300000	59.30	0.00	0.02	0.00	L6288	59.28	WEA1108	6 1
Desc SONOMA PHARMACEUTICALS INC COMMON STOCK														
Currency USD ReportedPX														
Trailer														
1	B	11/04/21	11/08/21	84	ANY	6.9499000	583.79	0.00	0.00	0.00	E9756	583.79	WEA1108	6 1
Desc SPHERE 3D CORP NEW COM NO PAR														
Currency USD ReportedPX														
Trailer														
1	B	11/04/21	11/08/21	2	ANY	6.9100000	13.82	0.00	0.00	0.00	G9048	13.82	WEA1108	6 1
Desc SPHERE 3D CORP NEW COM NO PAR														
Currency USD ReportedPX														
Trailer														
1	S	11/04/21	11/08/21	16	KRKR	1.6937000	27.10	0.00	0.02	0.00	I4269	27.08	WEA1108	6 1
Desc 36KR HOLDINGS INC AMERICAN DEPOSITARY SHARES														
Currency USD ReportedPX														
Trailer														
1	S	11/04/21	11/08/21	30	USEG	3.7700000	113.10	0.00	0.02	0.00	I3093	113.08	WEA1108	6 1
Desc U S ENERGY CORP COMMON STOCK														
Currency USD ReportedPX														
Trailer														

SUMMARY FOR CURRENT TRADE DATE

11/04/21

TOTAL SHARES BOUGHT

105.00

TOTAL DOLLARS BOUGHT

980.83

TOTAL SHARES SOLD

598.00

TOTAL DOLLARS SOLD

980.68

Apex Clearing Corporation  
350 N. St. Paul Street 1300  
Dallas TX 75201



**Webull**

1111 1111 1111

Account Number 5IB 70670

OFFICE SERVING YOU  
WEBULL FINANCIAL LLC - HOUSE  
917-725-2448

ALEXANDER YONGSIK YON  
4516 REBECCA CT  
ELLICOTT CITY MD 21043-6098

### Transaction Confirmation

THANK YOU for letting Apex Clearing Corporation ( Apex ) serve you (the Customer "you or your ") directly or through your brokerage firm (the Introducing Broker ) or registered investment advisor ( RIA ). Amounts or securities due must be received in Apex's office on or before the settlement date shown.

- 1 All orders are received and executed subject to the rules, regulations and customs of the SEC, FINRA, MSRB, FRB and the exchange or market where the order is entered. The provisions of the Securities Exchange Act of 1934 and Apex, if any.
- 2 Securities purchased and securities pledged as margin are or may be hypothecated for the sum due thereon or for a greater sum under circumstances which will permit the commingling thereof with securities of other customers, all without further notice to the Customer.
- 3 If shares loaned for a short sale are no longer available, Apex reserves the right to decide by random selection which positions will be subject to a buy in.
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- 9 Failure of Customer to notify Apex in writing within five days of the receipt of this document of any concerns constitutes an acceptance of the transaction.
- 10 Mutual Funds: You may be eligible for breakpoint discounts based on the size of your purchase, current holdings, or future purchases. Upon selling your shares, you may pay a sales charge. For more detailed information and other data on fees, etc., see the Prospectus, the Statement of Additional Information, or contact your Introducing Broker or RIA.
- 11 The default Cost Basis Election or tax relief method used by Apex for tax reporting is First In-First Out ( FIFO ) for Equities and Bonds and Average cost for Mutual Funds. Please contact your Introducing Broker if you wish to change the default tax relief method for your account or specify different tax lots for liquidation.
- 12 Underwriting (market 7): the securities described herein are being sold pursuant to a registration statement or where prospectus is required.
- 13 Market Maker: where the phrase "Market Maker" (or similar language) appears on the front of this confirmation, your Introducing Broker is making a market in this security, i.e., buying or selling such security for its own account on a regular or continuous basis. It may, however, discontinue the maintenance of such market at any time without notice.
- 14 Asset-Backed Securities: the actual yield received may vary according to the rate at which the underlying receivable or other financial assets are prepaid. Information concerning the factors that affect yield, including the estimated yield, the weighted average life and the prepayment assumptions underlying the yield, will be provided by your Introducing Broker or RIA upon written request.
- 15 Mortgage Backed Securities transactions are subject to the PSA Uniform Practices for Clearance and Settlement of Mortgage Backed Securities.
- 16 Apex receives remuneration for directing orders to particular broker/dealers or market centers for execution. Such remuneration is considered compensation to the firm. The source and nature in connection with your transaction may be disclosed upon written request. Your Introducing Broker that clears trades through Apex will share in such payments or may directly receive payment for order flow for certain transactions. Details may be furnished upon written request.
- 17 Backup Withholding - If you have not provided us with your correct social security number / tax ID number under federal law, you may be subject to a \$50 penalty as well as backup withholding on certain payments.
- 18 Broker dealers are assessed fees by various market centers on certain securities transactions and Apex charges transaction fees to cover these assessments. While Apex endeavors to collect amounts roughly equivalent to what Apex expects assessments to be, the actual amounts Apex charges as fees may be different than the amounts Apex is assessed by those market centers. Specific details regarding these fees may be available upon written request.
- 19 If the official statement/prospectus is available, you can view them at [emma.msrb.org](http://emma.msrb.org). In the event the official statement/prospectus is not available on EMMA, your Introducing Broker and/or Apex has an obligation to provide you with information during the primary offering period but no later than settlement date.
- 20 Payment of Interest to holders of Municipal Securities: you may be subject to a substitute interest payment if the transfer of ownership of your municipal security has not been completed prior to the next interest payment. Please contact a tax professional for more specific details.

<b>MKT = Market in which transaction was Executed/Cleared</b>	<b>Buy/Sell Codes</b>	<b>Account Types</b>	<b>Currency Codes</b>	<b>CAP=Capacity in which your Introducing Broker or Apex has acted</b>
1 New York Stock Exchange	B = Buy	1-Cash	AUD Australian \$	1 2 4 5 8 - As AGENT
2 NYSE American	S = Sell	2 Margin	CAD Canadian \$	3 As PRINCIPAL your broker or Apex has bought from you or sold to you and may have received a profit or loss on the transaction
3 Other US Exchanges	X=B = Cancel Buy	3 Limit	EUR Euros	4 As AGENT for both buyer and seller
4 Mutual Funds	X=S = Cancel Sell	4 Non Negotiable	HKD Hong Kong \$	7 As PRINCIPAL your broker or Apex has bought from you or sold to you and may have received a profit or loss on the transaction
5 Options		5 Short	JPY Japan Yen	9-Other
6 Over-the-Counter/NASDAQ		6 Special Subscription	MXN Mexican Peso	0 Multiple cap (two or more of agent, principal, & agent for another)
<b>ACCOUNTS CARRIED BY APEX CLEARING CORPORATION</b>		7 Description Available on Req	NZD New Zealand \$	0 - Riskless Principal
<b>MEMBER FINRA SIPC &amp; NYSE (214) 762-1045 TAX ID #13-79674 3</b>		8 Description Available on Req	Add 1 Currencies	A - As Agency
		9 RVP/DVP	Cont. of your broker	B - As Principal

S1010B81 05/21



Account cleared by  
Apex Clearing Corporation  
350 N St Paul Street 1300  
Dallas Texas 75201

Account Number 5IB 70670

Account Name ALEXANDER YONGSIK YON

Acct Type	B/S	Trade Date	Settle Date	QTY	SYM	PRICE	Principal	COMM	Tran Fee	Add'l Fees	Tag Number	Net Amount	Trade#	M C K A T P
1	S	01/19/22	01/21/22	250	BAOS	0.8512000	212.80	0.00	0.04	0.00	Q6400	212.76	WEA0121	6 1
Desc BAOSHENG MEDIA GROUP HOLDINGS LIMITED ORDINARY SHARES														
Currency USD ReportedPX														
Interest/STTax 0.00 CUSIP G08908108														
Markup/Down														
Trailer														
1	S	01/19/22	01/21/22	200	FAMI	0.2266500	45.33	0.00	0.04	0.00	Q5127	45.29	WEA0121	6 1
Desc FARMMI INC ORDINARY SHARES														
Currency USD ReportedPX														
Interest/STTax 0.00 CUSIP G33277107														
Markup/Down														
Trailer														
1	B	01/19/22	01/21/22	14	MMAT	2.0883000	29.24	0.00	0.00	0.00	T5980	29.24	WEA0121	6 1
Desc META MATLS INC COMMON STOCK														
Currency USD ReportedPX														
Interest/STTax 0.00 CUSIP 59134N104														
Markup/Down														
Trailer														
1	B	01/19/22	01/21/22	140	BBIG	5.0600000	708.40	0.00	0.00	0.00	R5987	708.40	WEA0121	6 1
Desc VINCO VENTURES INC COMMON STOCK														
Currency USD ReportedPX														
Interest/STTax 0.00 CUSIP 927330100														
Markup/Down														
Trailer														
1	S	01/19/22	01/21/22	1,430	ZOM	0.3350000	479.05	0.00	0.20	0.00	R1190	478.85	WEA0121	6 1
Desc ZOMEDICA CORP COM														
Currency USD ReportedPX														
Interest/STTax 0.00 CUSIP 98980M109														
Markup/Down														
Trailer														

SUMMARY FOR CURRENT TRADE DATE

01/19/22

TOTAL SHARES BOUGHT

154.00

TOTAL DOLLARS BOUGHT

737.64

TOTAL SHARES SOLD

1,880.00

TOTAL DOLLARS SOLD

736.90



Apex Clearing Corporation  
350 N. St. Paul Street 1300  
Dallas TX 75201



**Webull**  
Investment Technology

Account Number 5IB 70670

OFFICE SERVING YOU  
WEBULL FINANCIAL LLC - HOUSE  
917-725-2448

ALEXANDER YONGSIK YON  
4516 REBECCA CT  
ELLCOTT CITY MD 21043 6098

### Transaction Confirmation

THANK YOU for letting Apex Clearing Corporation ( Apex ) serve you (the Customer "you" or your ) directly or through your brokerage firm (the Introducing Broker ) or registered investment advisor ( RIA ). Amounts or securities due must be received in Apex's office on or before the settlement date shown.

- 1 All orders are received and executed subject to the rules, regulations and customs of the SEC, FINRA, MSRB, FRB and the exchange or market where the order is entered. The provisions of the Securities Exchange Act of 1934 and Apex, if any.
- 2 Securities purchased and securities pledged as margin are or may be hypothecated for the sum due thereon or for a greater sum under circumstances which will permit the commingling thereof with securities of other customers, all without further notice to the Customer.
- 3 If shares loaned for a short sale are no longer available, Apex reserves the right to decide by random selection which positions will be subject to a buy in.
- 4 Upon written request and where available, further details of items herein may be provided including the execution date and time, the counterparty when acting as agent, the detailed breakdown of any commissions and fees and the remuneration details, if any, to Apex, or your Introducing Broker or RIA for directing orders to select market centers or market participants.
- 5 To the extent any bonds, asset backed, preferred, or other securities mentioned herein have provisions that may cause a call or prepayment, the details and the effect on the yield shown here are available on written request. In addition, zero coupon bonds do not have periodic payments, may be callable below maturity value and if callable and in bearer form, are callable without notice by mail unless registered.
- 6 All transactions on this confirmation are presumed to be unsolicited unless noted otherwise on this trade confirmation.
- 7 Any ratings data (which is subject to change) that may be provided has been obtained from ratings services which Apex believes to be reliable; however, there is no guarantee of accuracy or completeness.
- 8 Good Till Cancel ( GTC ) orders are "live" during regular trading hours. GTC orders are canceled by Apex each business day (canceled at the exchange or market center) at 4:00pm EDT, with the exception of certain broad-based ETF options that trade until 4:15pm EDT. GTC orders are resubmitted by Apex at the start of each trading day (prior to 9:30am EDT). Time Priority will be reset each day as the order arrives at the exchange or market center. If a GTC order receives a partial execution and is not fully executed, the order quantity will adjust to the remaining quantity for the next business day. Commissions may be charged each day that the order receives one or more partial executions. Please contact Apex, your Introducing Broker or RIA for more specific details. Until cancellation of the GTC order, all open orders will be considered good. When entering a substitute order or changing an existing order, the responsibility for cancelling the original GTC order rests upon the Customer, Introducing Broker or RIA with discretionary trading authority. Therefore, if a Customer, Introducing Broker or RIA with discretionary trading authority fails to cancel an existing order, transactions resulting from the execution of both the original and new order(s) may be entered into Customer's account.
- 9 Failure of Customer to notify Apex in writing within five days of the receipt of this document of any concerns constitutes an acceptance of the transaction.
- 10 Mutual Funds: You may be eligible for breakpoint discounts based on the size of your purchase, current holdings or future purchases. Upon selling your shares, you may pay a sales charge. For more detailed information and other data on fees, etc., see the Prospectus, the Statement of Additional Information or contact your Introducing Broker or RIA.
- 11 The default Cost Basis Election or tax relief method used by Apex for tax reporting is First In First Out ( FIFO ) for Equities and Bonds and Average cost for Mutual Funds. Please contact your Introducing Broker if you wish to change the default tax-relief method for your account or specify different tax lots for liquidation.
- 12 Underwriting (market 7) the securities described herein are being sold pursuant to a registration statement or where prospectus is required.
- 13 Market Maker: where the phrase "Market Maker" (or similar language) appears on the front of this confirmation, your Introducing Broker is making a market in this security, i.e., buying or selling such security for its own account on a regular or continuous basis. It may, however, discontinue the maintenance of such market at any time without notice.
- 14 Asset-Backed Securities: the actual yield received may vary according to the rate at which the underlying receivable or other financial assets are prepaid. Information concerning the factors that affect yield, including the estimated yield, the weighted average life and the prepayment assumptions underlying the yield, will be provided by your Introducing Broker or RIA upon written request.
- 15 Mortgage Backed Securities transactions are subject to the PSA Uniform Practices for Clearance and Settlement of Mortgage Backed Securities.
- 16 Apex receives remuneration for directing orders to particular broker/dealers or market centers for execution. Such remuneration is considered compensation to the firm. The source and nature in connection with your transaction may be disclosed upon written request. Your Introducing Broker that clears trades through Apex, will share in such payments or may directly receive payment for order flow for certain transactions. Details may be furnished upon written request.
- 17 Backup Withholding - If you have not provided us with your correct social security number / tax ID number under federal law, you may be subject to a \$50 penalty as well as backup withholding on certain payments.
- 18 Broker-dealers are assessed fees by various market centers on certain securities transactions and Apex charges transaction fees to cover these assessments. While Apex endeavors to collect amounts roughly equivalent to what Apex expects its assessments to be, the actual amounts Apex charges as fees may be different than the amounts Apex is assessed by those market centers. Specific details regarding these fees may be available upon written request.
- 19 If the official statement/prospectus is available, you can view them at [www.msrb.org](http://www.msrb.org). In the event the official statement/prospectus is not available on EMMMA, your Introducing Broker and/or Apex has an obligation to provide you with information during the primary offering period but no later than settlement date.
- 20 Payment of Interest to holders of Municipal Securities: you may be subject to a substitute interest payment if the transfer of ownership of your municipal security has not been completed prior to the next interest payment. Please contact a tax professional for more specific details.

MKT = Market in which transaction was Executed/Cleared	Buy/Sell Codes	Account Types	Currency Codes	CAP=Capacity in which your Introducing Broker or Apex has acted
1 New York Stock Exchange	B = Buy	1-Cash	AUD Australian \$	1 2 4 5 8 - As AGENT
2 NYSE American	S = Sell	2 Margin	CAD Canadian \$	3 As PRINCIPAL, your broker or Apex has bought from you or sold to you and may have received a profit or loss on the transaction
3 Other US Exchanges	X#B = Cancel Buy	3 In-Conn	EUR Euros	6 As AGENT for both buyer and seller
4 Mutual Funds	X#S = Cancel Sell	4 Non Negotiable	GBP British Pounds	7 As PRINCIPAL, your Broker or Apex has bought from you or sold to you and may have received a profit or loss on the transaction
5 Options		5 Short	HKD Hong Kong \$	9-Other
6 Over the Counter/NASDAQ		6 Special Subscription	JPY Japan Yen	0 Multiple (two or more of agent, principal, & agent for another)
		7 Description Available on Req	MXN Mexican Peso	67 - Riskless Principal
		8 Description Available on Req	NZD New Zealand \$	A - As Agency
		9 RVP/DVP	Add Currencies	B - As Principal
			Contact your broker	

ACCOUNTS CARRIED BY APEX CLEARING CORPORATION  
MEMBER FINRA, SIPC & NYSE (214) 765-1055 TAX ID #13-7967453

S1010B81 05/21


**E\*TRADE Securities**  
**Investment Account**
**TRADE CONFIRMATION**
**Account Number** XXXX 6829

**Account Name**  
 ALEXANDER YON

**E\*TRADE Securities LLC**  
 PO Box 484  
 Jersey City NJ 07303 0484  
 1 800 ETRADE 1 (1 800 387 2331)  
 etrade.com

**Customer Update**

Invest to make an impact Learn how sustainable investing offers growth potential while supporting your values at us etrade.com/knowledge/sustainable investing

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
06/21/21	06/23/21	6 1	LKCO	BUY	1	\$2 91	Cash	PRINCIPAL	\$2 91
<b>***LUOKUNG TECHNOLOGY CORP ORDINARY SHARES</b>									<b>NET AMOUNT \$2 91</b>
06/21/21	06/23/21	6 1	TRCH	BUY	1 800	\$9 3899	Cash	PRINCIPAL	\$16 901 82
<b>TORCHLIGHT ENERGY RESOURCES INC</b>									<b>NET AMOUNT \$16 901 82</b>
06/21/21	06/23/21	6 1	TRCH	BUY	6 123	\$9 39	Cash	PRINCIPAL	\$57 494 97
<b>TORCHLIGHT ENERGY RESOURCES INC</b>									<b>NET AMOUNT \$57 494 97</b>
06/21/21	06/23/21	6 1	TRCH	BUY	1 911	\$9 40	Cash	PRINCIPAL	\$17 963 40
<b>TORCHLIGHT ENERGY RESOURCES INC</b>									<b>NET AMOUNT \$17 963 40</b>
06/21/21	06/23/21	6 1	TRCH	BUY	766	\$9 41	Cash	PRINCIPAL	\$7 208 06
<b>TORCHLIGHT ENERGY RESOURCES INC</b>									<b>NET AMOUNT \$7 208 06</b>
06/21/21	06/23/21	6 1	TRCH	BUY	46	\$9 27	Cash	PRINCIPAL	\$426 42
<b>TORCHLIGHT ENERGY RESOURCES INC</b>									<b>NET AMOUNT \$426 42</b>
06/21/21	06/23/21	6 1	TRCH	BUY	1	\$9 175	Cash	PRINCIPAL	\$9 18
<b>TORCHLIGHT ENERGY RESOURCES INC</b>									<b>NET AMOUNT \$9 18</b>

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 ALEXANDER YON  
 1462 DESOTO RD  
 BALTIMORE MD 21230 1202

Use This Deposit Slip

Acct: XXXX-6829

Please do not send cash

 Make checks payable to E\*TRADE Securities LLC  
 Mail deposits to

**TOTAL DEPOSIT**

 E\*TRADE Securities LLC  
 PO Box 484  
 Jersey City NJ 07303 0484

Dollars	Cents

062120210001 900508568293


**Type of Transaction  
(Market)**

- |                                 |                             |
|---------------------------------|-----------------------------|
| 1 NYSE                          | 5 CBOE                      |
| 2 NYSE American                 | 6 Over the Counter          |
| 3 Other US Registered Exchanges | 7 Underwriting              |
| 4 Mutual Funds                  | 8 Foreign Exchange or Other |

(Capacity in which your broker is acting)

1 2 3 4 5 6 As agent

6 As agent for both buyer and seller The commission charged to the party on the other side of the transaction will be furnished upon request

7 As principal

If the symbol ( ) appears in the security description on the face hereof the offering of the described security has been made solely by means of the prospectus relating thereto a copy of which has been or will be delivered to you the receipt of which is acknowledged by payment hereof

If the symbol (F) appears in the security description it indicates that the security is Foreign and may be subject to interest equalization tax

If the symbol (T) appears in the security description an officer or employee of ETS is a director of the issuer of the securities involved in this transaction A control relationship may therefore exist between the issuer and ETS

Please retain this confirmation for income tax purposes Federal regulations require brokers to report proceeds from all transactions to the Internal Revenue Service

**Terms and Conditions**

Please refer to the E\*TRADE Customer Agreement for a complete discussion of the terms and conditions governing your account If you have questions regarding the E\*TRADE Customer Agreement or your account please email us through [etrade.com](mailto:etrade.com) or call 800-ETRADE 1 THE INFORMATION CONTAINED IN THIS CONFIRMATION SHALL BE BINDING UPON YOU IF YOU DO NOT OBJECT IN WRITING WITHIN TWO (2) DAYS AFTER THE CONFIRMATION IS FIRST RECEIVED BY YOU Securities products and services are offered by E\*TRADE Securities LLC ( ETS ) Member FINRA/SIPC Your account is earned by ETS which maintains your funds and securities deposited with ETS An affiliate of ETS may act as a principal or agent in your transaction(s)

**Applicable Rules and Regulations.** All transactions in your account shall be subject to the constitution rules regulations customs and usages of the exchange or market and its clearing house where the transactions are executed by ETS or its agents including ETS affiliates Also where applicable the transactions shall be subject to the provisions of the Securities Act of 1933 as amended the Securities Exchange Act of 1934 as amended and the rules and regulations of the Securities and Exchange Commission ( SEC ) the Board of Governors of the Federal Reserve System and any applicable self regulatory organization For information about FINRA's BrokerCheck Program including an investor brochure please contact FINRA at 800 289-9999 or [www.finra.org](http://www.finra.org)

Securities held on your behalf at ETS or a central clearing facility but not registered in your name are commingled with identical securities held for other customers In the event that securities so held are called by the issuer the securities to be called will be determined by an impartial and random selection system If your security is selected it will be presented for redemption and your account credited with the proceeds You have the right to withdraw uncalled fully paid securities at any time prior to a partial call and also to withdraw excess margin securities not subject to restriction

The responsibility to cancel an existing open order rests with you Any transaction that results from the execution of any orders that you have not instructed us to cancel prior to execution will be recorded in your account Market orders are subject to immediate execution and are difficult to cancel

If required payment or delivery of securities is not made by the settlement date ETS may at its option and without further notice charge interest on the amount shown on the face hereof or cancel sell out or buy in the subject securities and charge your account for any expenses losses or other costs incurred

Investments in securities are not guaranteed by ETS are not insured by the FDIC and will fluctuate with changes in market conditions When selling a security you may receive more or less than your original investment

The principal amount or commission described on the face hereof may include an Additional Fee for special services rendered Other charges may include commissions paid to affiliates of ETS local taxes transaction fees and exchange fees among others For customers who choose to convert from the currency in which the security or payment thereon is denominated the amounts provided with respect to principal interest dividend and/or yield is subject to fluctuation or applicable foreign currency exchange rates and costs including a markup charged by ETS Further details are available upon written request The name of the party with whom this transaction was made and time of execution of the transaction will be furnished upon written request

Securities purchased by you or held by ETS may be hypothecated and/or commingled with securities earned for other customers

Call features for bonds may exist and such bonds can be redeemed in whole or in part before maturity that could affect the yield complete information will be provided upon request With respect to a zero coupon issue you will not receive periodic payments and the securities may be callable at a price below maturity value Furthermore a zero coupon issue that is callable and in bearer form may be called without notice by mail to you unless the issuer is registered

For an asset backed security (e.g. GNM, FNMA, FHLMC or GNMA) the actual yield may vary according to the rate at which the underlying assets or receivables are prepaid Information concerning factors that affect yield including estimated yield weighted average life and prepayment assumptions are available upon request

The ratings that appear in the description of some fixed-income securities have been obtained from rating services that we believe to be reliable however we cannot guarantee their accuracy Securities for which a rating is not available will be marked Not Rated A withdrawn rating WR signifies the removal of a rating on either an obligation or issuer Confirmations related to fixed income transactions may reflect a mark up or mark down both as a dollar and percentage value The mark up or mark down dollar value is calculated as the execution price less the prevailing market price (PMP) multiplied by the quantity The mark up or mark down percentage value is calculated as the mark up or mark down dollar value divided by the PMP Trades with a mark-up or mark down value of N/A Indicate a negative mark-up (i.e. the sale of the security was at a price lower than the PMP)

Final prospectus and/or offering documentation is available upon request in connection with your purchase of a security where the issuer is deemed to control be controlled by or under common control with ETS (i.e. affiliated securities)

Additional Fees may include a broker assist fee an order handling fee and/or market center charges ETS will charge a fee if you request to have certificates issued in your name and mailed to you

**ALEXANDER YON  
1462 DESOTO RD  
BALTIMORE MD 21230-1202**

**Payment for Order Flow Disclosure**

The SEC (as well as FINRA) requires that all broker dealers inform their customers when a new account is opened and on an annual basis thereafter of payment for order flow practices (compensation received for placing orders through specialists on national securities exchanges over the counter market makers alternative trading systems and ECNs (collectively "market centers")) Consistent with the overriding principle of best execution ETS routes orders to various market makers including its affiliate Morgan Stanley & Co LLC ETS receives remuneration (generally in the form of per share cash payments or through profit sharing arrangements) for routing orders in securities to particular market centers for execution Such remuneration is considered compensation to ETS and the source and amount of any compensation received in connection with your transaction will be disclosed to you upon written request ETS absent instructions from you to the contrary takes a number of factors into consideration in determining where to route customers orders including the speed of execution price improvement opportunities (executions at prices superior to the then prevailing inside market) automatic execution guarantees the availability of efficient and reliable order handling systems the level of service provided the cost of executing orders whether it will receive cash or non cash payments for routing order flow and reciprocal business arrangements

Trades noted as AVG PRICE SHOWN DETAILS ON REQ are executed through an average price account The price shown may represent an average of multiple executions or may represent a single execution at the price indicated Details regarding trades done in an average price account are available upon request

Rev 04/20 1237804

**E\*TRADE**  
**FINANCIAL**  
 Trading • Investing • Banking

Page 1 of 2

**E\*TRADE Securities**  
**Investment Account**

# TRADE CONFIRMATION

**Account Number** XXXX 6829

**Account Name**  
 ALEXANDER YON

**E\*TRADE Securities LLC**  
 PO Box 484  
 Jersey City NJ 07303 0484  
 1 800 ETRADE 1 (1 800 387 2331)  
 etrade.com

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
07/23/21	07/27/21	6 1	MMAT	BUY	1 450	\$3 51	Cash	PRINCIPAL	\$5 089 50
<b>META MATLS INC COMMON STOCK</b>									<b>NET AMOUNT</b>
									<b>\$5 089 50</b>
07/23/21	07/27/21	6 1	NIO	SELL	97	\$43 1944	Cash	PRINCIPAL	\$4 189 86
<b>***NIO INC AMERICAN DEPOSITARY SHARES ECH</b>									FINRA TAF
<b>RPRSNTNG ONE CL A ORD SHARE</b>									FEE
									<b>NET AMOUNT</b>
									<b>\$4 189 82</b>
07/23/21	07/27/21	6 1	VTNR	SELL	100	\$8 815	Cash	PRINCIPAL	\$881 50
<b>VERTEX ENERGY INC</b>									FINRA TAF
									FEE
									<b>NET AMOUNT</b>
									<b>\$881 48</b>

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 1462 DESOTO RD  
 BALTIMORE MD 21230 1202

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**Use This Deposit Slip** Acct **XXXX-6829**

Please do not send cash

Make checks payable to E\*TRADE Securities LLC  
 Mail deposits to

**TOTAL DEPOSIT**

E\*TRADE Securities LLC  
 PO Box 484  
 Jersey City NJ 07303 0484

Dollars	Cents

072320210001 900508568293


**Type of Transaction  
(Market)**

- |                                 |                             |
|---------------------------------|-----------------------------|
| 1 NYSE                          | 5 CBOE                      |
| 2 NYSE American                 | 6 Over the Counter          |
| 3 Other US Registered Exchanges | 7 Underwriting              |
| 4 Mutual Funds                  | 8 Foreign Exchange or Other |

(Capacity in which your broker is acting)

1 2 3 4 5 8 As agent

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7 As principal

If the symbol ( ) appears in the security description on the face hereof the offering of the described security has been made solely by means of the prospectus relating thereto a copy of which has been or will be delivered to you the receipt of which is acknowledged by payment hereof

If the symbol (F) appears in the security description it indicates that the security is Foreign and may be subject to interest equalization tax

If the symbol (T) appears in the security description an officer or employee of ETS is a director of the issuer of the securities involved in this transaction A control relationship may therefore exist between the issuer and ETS

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**Terms and Conditions**

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**Applicable Rules and Regulations.** All transactions in your account shall be subject to the constitution rules regulations customs and usages of the exchange or market and its clearing house where the transactions are executed by ETS or its agents including ETS affiliates Also where applicable the transactions shall be subject to the provisions of the Securities Act of 1933 as amended the Securities Exchange Act of 1934 as amended and the rules and regulations of the Securities and Exchange Commission ( SEC ) the Board of Governors of the Federal Reserve System and any applicable self regulatory organization For information about FINRA's BrokerCheck Program including an investor brochure please contact FINRA at 800-269-9999 or [www.finra.org](http://www.finra.org)

Securities held on your behalf at ETS or a central clearing facility but not registered in your name are commingled with identical securities held for other customers In the event that securities so held are called by the issuer the securities to be called will be determined by an impartial and random selection system If your security is selected it will be presented for redemption and your account credited with the proceeds You have the right to withdraw uncalled fully paid securities at any time prior to a partial call and also to withdraw excess margin securities not subject to redemption

The responsibility to cancel an existing open order rests with you Any transaction that results from the execution of any orders that you have not instructed us to cancel prior to execution will be recorded in your account Market orders are subject to immediate execution and are difficult to cancel

If required payment or delivery of securities is not made by the settlement date ETS may at its option and without further notice charge interest on the amount shown on the face hereof or cancel sell out or buy in the subject securities and charge your account for any expenses losses or other costs incurred

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Rev 09/20 1237B04

**E\*TRADE Securities**

Investment Account

**TRADE CONFIRMATION**

Account Number XXXX 6829

Account Name  
ALEXANDER YON

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 etrade.com

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
07/28/21	07/30/21	6 1	AMZN	BUY	1	\$3 635 90	Cash	PRINCIPAL	\$3 635 90
<b>AMAZON COM INC</b>								<b>NET AMOUNT</b>	<b>\$3 635 90</b>
07/28/21	07/30/21	6 1	FB	BUY	3	\$377 5232	Cash	PRINCIPAL	\$1 132 57
<b>FACEBOOK INC CL A</b>								<b>NET AMOUNT</b>	<b>\$1 132 57</b>
07/28/21	07/30/21	6 1	MMAT	BUY	320	\$3 3452	Cash	PRINCIPAL	\$1 070 46
<b>META MATLS INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$1 070 46</b>
07/28/21	07/30/21	6 1	SPY	SELL	2	\$439 5979	Cash	PRINCIPAL	\$879 20
<b>SPDR S&amp;P 500 ETF TRUST</b>								FEE	\$0 01
								<b>NET AMOUNT</b>	<b>\$879 19</b>

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 1462 DESOTO RD  
 BALTIMORE MD 21230 1202

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**Use This Deposit Slip** Acct: **XXXX-6829**

Please do not send cash

Make checks payable to E\*TRADE Securities LLC  
 Mail deposits to

**TOTAL DEPOSIT**

Dollars	Cents

E\*TRADE Securities LLC  
 PO Box 484  
 Jersey City NJ 07303 0484

072820210001 900508568293




**Type of Transaction  
(Market)**

- |                                 |                             |
|---------------------------------|-----------------------------|
| 1 NYSE                          | 5 CBOE                      |
| 2 NYSE American                 | 6 Over the Counter          |
| 3 Other US Registered Exchanges | 7 Underwriting              |
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**Applicable Rules and Regulations.** All transactions in your account shall be subject to the constitution rules regulations customs and usages of the exchange or market and its clearing house where the transactions are executed by ETS or its agents including ETS affiliates Also where applicable the transactions shall be subject to the provisions of the Securities Act of 1933 as amended the Securities Exchange Act of 1934 as amended and the rules and regulations of the Securities and Exchange Commission (SEC) the Board of Governors of the Federal Reserve System and any applicable self regulatory organization For information about FINRA's BrokerCheck Program including an investor brochure please contact FINRA at 800 289-9999 or [www.finra.org](http://www.finra.org)

Securities held on your behalf at ETS or a central clearing facility but not registered in your name are commingled with identical securities held for other customers In the event that securities so held are called by the issuer the securities to be called will be determined by an impartial and random selection system If your security is selected it will be presented for redemption and your account credited with the proceeds You have the right to withdraw uncalled fully paid securities at any time prior to a partial call and also to withdraw excess margin securities not subject to restriction

The responsibility to cancel an existing open order rests with you Any transaction that results from the execution of any orders that you have not instructed us to cancel prior to execution will be recorded in your account Market orders are subject to immediate execution and are difficult to cancel

If required payment or delivery of securities is not made by the settlement date ETS may at its option and without further notice charge interest on the amount shown on the face hereof or cancel sell out or buy in the subject securities and charge your account for any expenses losses or other costs incurred

Investments in securities are not guaranteed by ETS are not insured by the FDIC and will fluctuate with changes in market conditions When selling a security you may receive more or less than your original investment

The principal amount or commission described on the face hereof may include an Additional Fee for special services rendered Other charges may include commissions paid to affiliates of ETS local taxes transaction fees and exchange fees among others For customers who choose to convert from the currency in which the security or payment thereon is denominated the amounts provided with respect to principal interest dividend and/or yield is subject to fluctuation or applicable foreign currency exchange rates and costs including a markup charged by ETS Further details are available upon written request The name of the party with whom this transaction was made and time of execution of the transaction will be furnished upon written request

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Call features for bonds may exist and such bonds can be redeemed in whole or in part before maturity that could affect the yield complete information will be provided upon request With respect to a zero coupon issue you will not receive periodic payments and the securities may be callable at a price below maturity value Furthermore a zero coupon issue that is callable and in bearer form may be called without notice by mail to you unless the issue is registered

For an asset backed security (e.g. GNM, FNMA, FHLMC or GNMA) the actual yield may vary according to the rate at which the underlying assets or receivables are prepaid Information concerning factors that affect yield including estimated yield weighted average life and prepayment assumptions are available upon request

The ratings that appear in the description of some fixed-income securities have been obtained from rating services that we believe to be reliable however we cannot guarantee their accuracy Securities for which a rating is not available will be marked Not Rated A withdrawn rating VNR signifies the removal of a rating on either an obligation or issuer Confirmations related to fixed income transactions may reflect a mark up or mark down both as a dollar and percentage value The mark up or mark down dollar value is calculated as the execution price less the prevailing market price (PMP) multiplied by the quantity The mark up or mark down percentage value is calculated as the mark up or mark down dollar value divided by the PMP Trades with a mark-up or mark down value of N/A indicate a negative mark-up (i.e. the sale of the security was at a price lower than the PMP)

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**ALEXANDER YON  
1462 DESOTO RD  
BALTIMORE MD 21230-1202**

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Re: 09/20 123 B04

**E\*TRADE Securities**

Investment Account

**TRADE CONFIRMATION**

Account Number XXXX 6829

Account Name  
ALEXANDER YON

**E\*TRADE Securities LLC**  
 PO Box 484  
 Jersey City NJ 07303 0484  
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TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
08/12/21	08/16/21	6 1	DPLS	BUY	3 750	\$ 1335	Cash	PRINCIPAL	\$500 81
<b>DARKPULSE INC COMMON STOCK</b>								COMMISSION	\$6 95
								<b>NET AMOUNT</b>	<b>\$507 76</b>
08/12/21	08/16/21	6 1	DPLS	BUY	9 503	\$ 1335	Cash	PRINCIPAL	\$1 268 65
<b>DARKPULSE INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$1 268 65</b>
08/12/21	08/16/21	6 1	MMAT	BUY	3 000	\$3 4059	Cash	PRINCIPAL	\$10 217 70
<b>META MATLS INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$10 217 70</b>

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Dollars	Cents

081220210001 900508568293




**Type of Transaction  
(Market)**

- |                                 |                             |
|---------------------------------|-----------------------------|
| 1 NYSE                          | 5 CBOE                      |
| 2 NYSE American                 | 6 Over the Counter          |
| 3 Other US Registered Exchanges | 7 Underwriting              |
| 4 Mutual Funds                  | 8 Foreign Exchange or Other |

(Capacity in which your broker is acting)

1 2 3 4 5 6 As agent

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Rev 04/20 S123 B04


**E\*TRADE Securities**  
**Investment Account**
**TRADE CONFIRMATION**
**Account Number** XXXX 6829

**Account Name**  
 ALEXANDER YON

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TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
09/23/21	09/27/21	6 1	AMD	BUY	20	\$106 13	Cash	PRINCIPAL	\$2 122 60
<b>ADVANCED MICRO DEVICES INC COM</b>								<b>NET AMOUNT</b>	<b>\$2 122 60</b>
09/23/21	09/27/21	6 1	SAVA	BUY	10	\$57 1914	Cash	PRINCIPAL	\$571 91
<b>CASSAVA SCIENCES INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$571 91</b>
09/23/21	09/27/21	6 1	MRM	BUY	200	\$15 9337	Cash	PRINCIPAL	\$3 186 74
<b>***MEDIROM HEALTHCARE TECHNOLOGIES INC AMERICAN DEPOSITARY SHARE</b>								<b>NET AMOUNT</b>	<b>\$3 186 74</b>
09/23/21	09/27/21	6 1	MMAT	BUY	200	\$5 075	Cash	PRINCIPAL	\$1 015 00
<b>META MATLS INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$1 015 00</b>
09/23/21	09/27/21	6 1	MSFT	BUY	7	\$300 2481	Cash	PRINCIPAL	\$2 101 74
<b>MICROSOFT CORP</b>								<b>NET AMOUNT</b>	<b>\$2 101 74</b>
09/23/21	09/27/21	6 1	NVDA	BUY	5	\$223 395	Cash	PRINCIPAL	\$1 116 98
<b>NVIDIA CORP</b>								<b>NET AMOUNT</b>	<b>\$1 116 98</b>
09/23/21	09/27/21	6 1	RWLK	BUY	1 000	\$2 39	Cash	PRINCIPAL	\$2 390 00
<b>***REWALK ROBOTICS LTD ORDINARY SHARES</b>								<b>NET AMOUNT</b>	<b>\$2 390 00</b>
09/23/21	09/27/21	6 1	TSLA	BUY	5	\$754 0841	Cash	PRINCIPAL	\$3 770 42
<b>TESLA INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$3 770 42</b>

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TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE
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**Type of Transaction  
(Market)**

- |                                 |                             |
|---------------------------------|-----------------------------|
| 1 NYSE                          | 5 CBOE                      |
| 2 NYSE American                 | 6 Over the Counter          |
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(Capacity in which your broker is acting)

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Rev 05/20 123 B04


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TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
09/30/21	10/04/21	6 1	AAPL	BUY	5	\$143.275	Cash	PRINCIPAL	\$716.38
<b>APPLE INC</b>								<b>NET AMOUNT</b>	<b>\$716.38</b>
09/30/21	10/04/21	6 1	JCS	BUY	40	\$8.605	Cash	PRINCIPAL	\$344.20
<b>COMMUNICATIONS SYSTEMS INC</b>								<b>NET AMOUNT</b>	<b>\$344.20</b>
09/30/21	10/04/21	6 1	FAMI	BUY	2 000	\$4.957	Cash	PRINCIPAL	\$991.40
<b>***FARMMI INC ORDINARY SHARES</b>								<b>NET AMOUNT</b>	<b>\$991.40</b>
09/30/21	10/04/21	6 1	MMAT	BUY	100	\$5.605	Cash	PRINCIPAL	\$560.50
<b>META MATLS INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$560.50</b>
09/30/21	10/04/21	6 1	PALT	BUY	300	\$11.0634	Cash	PRINCIPAL	\$3 319.02
<b>PALTALK INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$3 319.02</b>
09/30/21	10/04/21	6 1	PALT	SELL	300	\$13.0915	Cash	PRINCIPAL	\$3 927.45
<b>PALTALK INC COMMON STOCK</b>								FINRA TAF	\$0.04
								FEE	\$0.03
								<b>NET AMOUNT</b>	<b>\$3 927.38</b>
09/30/21	10/04/21	6 1	TSLA	BUY	1	\$784.69	Cash	PRINCIPAL	\$784.69
<b>TESLA INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$784.69</b>

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Dollars	Cents

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Please refer to the E\*TRADE Customer Agreement for a complete discussion of the terms and conditions governing your account If you have questions regarding the E\*TRADE Customer Agreement or your account please email us through [etrade.com](mailto:etrade.com) or call 800-ETRADE 1 THE INFORMATION CONTAINED IN THIS CONFIRMATION SHALL BE BINDING UPON YOU IF YOU DO NOT OBJECT IN WRITING WITHIN TWO (2) DAYS AFTER THE CONFIRMATION IS FIRST RECEIVED BY YOU Securities products and services are offered by E\*TRADE Securities LLC ( ETS ) Member FINRA/SIPC Your account is carried by ETS which maintains your funds and securities deposited with ETS An affiliate of ETS may act as a principal or agent in your transaction(s)

**Applicable Rules and Regulations.** All transactions in your account shall be subject to the constitution rules regulations customs and usages of the exchange or market and its clearing house where the transactions are executed by ETS or its agents including ETS affiliates Also where applicable the transactions shall be subject to the provisions of the Securities Act of 1933 as amended the Securities Exchange Act of 1934 as amended and the rules and regulations of the Securities and Exchange Commission ( SEC ) the Board of Governors of the Federal Reserve System and any applicable self regulatory organization For information about FINRA's BrokerCheck Program including an investor brochure please contact FINRA at 800-289-9999 or [www.finra.org](http://www.finra.org)

Securities held on your behalf at ETS or a central clearing facility but not registered in your name are commingled with identical securities held for other customers In the event that securities so held are called by the issuer the securities to be called will be determined by an impartial and random selection system If your security is selected it will be presented for redemption and your account credited with the proceeds You have the right to withdraw uncalled fully paid securities at any time prior to a partial call and also to withdraw excess margin securities not subject to restriction

The responsibility to cancel an existing open order rests with you Any transaction that results from the execution of any orders that you have not instructed us to cancel prior to execution will be recorded in your account Market orders are subject to immediate execution and are difficult to cancel

If required payment or delivery of securities is not made by the settlement date ETS may at its option and without further notice charge interest on the amount shown on the face hereof or cancel sell out or buy in the subject securities and charge your account for any expenses losses or other costs incurred

Investments in securities are not guaranteed by ETS are not insured by the FDIC and will fluctuate with changes in market conditions When selling a security you may receive more or less than your original investment

The principal amount or commission described on the face hereof may include an Additional Fee for special services rendered Other charges may include commissions paid to affiliates of ETS local taxes transaction fees and exchange fees among others For customers who choose to convert from the currency in which the security or payment thereon is denominated the amounts provided with respect to principal interest dividend and/or yield is subject to fluctuation or applicable foreign currency exchange rates and costs including a markup charged by ETS Further details are available upon written request The name of the party with whom this transaction was made and time of execution of the transaction will be furnished upon written request

Securities purchased by you or held by ETS may be hypothecated and/or commingled with securities carried for other customers

Call features for bonds may exist and such bonds can be redeemed in whole or in part before maturity that could affect the yield complete information will be provided upon request With respect to a zero coupon issue you will not receive periodic payments and the securities may be callable at a price below maturity value Furthermore a zero coupon issue that is callable and in bearer form may be called without notice by mail to you unless the issuer is registered

For an asset backed security (e.g. GNM, FNMA, FHLMC or GMNA) the actual yield may vary according to the rate at which the underlying assets or receivables are prepaid Information concerning factors that affect yield including estimated yield weighted average life and prepayment assumptions are available upon request

The ratings that appear in the description of some fixed-income securities have been obtained from rating services that we believe to be reliable however we cannot guarantee their accuracy Securities for which a rating is not available will be marked Not Rated A withdrawn rating 'NR' signifies the removal of a rating on either an obligation or issuer Confirmations related to fixed income transactions may reflect a mark up or mark down both as a dollar and percentage value The mark up or mark down dollar value is calculated as the execution price less the prevailing market price (PMP) multiplied by the quantity The mark up or mark down percentage value is calculated as the mark up or mark down dollar value divided by the PMP Trades with a mark-up or mark down value of N/A indicate a negative mark-up (i.e. the sale of the security was at a price lower than the PMP)

Final prospectus and/or offering documentation is available upon request in connection with your purchase of a security where the issuer is deemed to control be controlled by or under common control with ETS (i.e. affiliated securities)

Additional Fees may include a broker assist fee an order handling fee and/or market center charges ETS will charge a fee if you request to have certificates issued in your name and mailed to you

**ALEXANDER YON**  
**1462 DESOTO RD**  
**BALTIMORE MD 21230-1202**

**Payment for Order Flow Disclosure**

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Rev 09/20 &gt;123 BC4



**E\*TRADE Securities**  
Investment Account

## TRADE CONFIRMATION

**Account Number** XXXX 6829

**Account Name**  
ALEXANDER YON

**E\*TRADE Securities LLC**  
PO Box 484  
Jersey City NJ 07303 0484  
1 800 ETRADE 1 (1 800 387 2331)  
etrade.com

### Customer Update

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TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
10/25/21	10/27/21	6 1	MMAT	BUY	1	\$4 645	Cash	PRINCIPAL	\$4 65
								<b>NET AMOUNT</b>	<b>\$4 65</b>

**META MATLS INC COMMON STOCK**

10/25/21	10/27/21	6 1	OCGN	BUY	23	\$8 656	Cash	PRINCIPAL	\$199 09
								<b>NET AMOUNT</b>	<b>\$199 09</b>

**OCUGEN INC COMMON STOCK**

▲ DETACH HERE  
ALEXANDER YON  
1462 DESOTO RD  
BALTIMORE MD 21230 1202

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**Acct XXXX-6829**

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Mail deposits to

**TOTAL DEPOSIT**

Dollars	Cents

E\*TRADE Securities LLC  
PO Box 484  
Jersey City NJ 07303 0484

102520210001 900508568293




**Type of Transaction  
(Market)**

- |                                 |                             |
|---------------------------------|-----------------------------|
| 1 NYSE                          | 5 CBOE                      |
| 2 NYSE American                 | 6 Over the Counter          |
| 3 Other US Registered Exchanges | 7 Underwriting              |
| 4 Mutual Funds                  | 8 Foreign Exchange or Other |

(Capacity in which your broker is acting)

1 2 3 4 5 6 8 As agent

6 As agent for both buyer and seller The commission charged to the party on the other side of the transaction will be furnished upon request

7 As principal

If the symbol ( ) appears in the security description on the face hereof the offering of the described security has been made solely by means of the prospectus relating thereto a copy of which has been or will be delivered to you the receipt of which is acknowledged by payment hereof

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BALTIMORE MD 21230-1202**

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Rev 09/20 5123 004

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**FINANCIAL®**  
 Trading • Investing • Banking

Page 1 of 2

**E\*TRADE Securities**  
 Investment Account

**TRADE CONFIRMATION**

Account Number XXXX 6829

Account Name  
 ALEXANDER YON

**E\*TRADE Securities LLC**

PO Box 484  
 Jersey City NJ 07303 0484  
 1 800 ETRADE 1 (1 800 387 2331)  
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TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
11/29/21	12/01/21	6 1	MMAT	BUY	494	\$3 745	Cash	PRINCIPAL	\$1 850 03
								<b>NET AMOUNT</b>	<b>\$1 850 03</b>

**META MATLS INC COMMON STOCK**

11/29/21	12/01/21	6 1	NVDA	BUY	2	\$330 3098	Cash	PRINCIPAL	\$660 62
								<b>NET AMOUNT</b>	<b>\$660 62</b>

**NVIDIA CORP**

11/29/21	12/01/21	6 1	TSLA	BUY	2	\$1 127 99	Cash	PRINCIPAL	\$2 255 98
								<b>NET AMOUNT</b>	<b>\$2 255 98</b>

**TESLA INC COMMON STOCK**

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 BALTIMORE MD 21230 1202

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 Mail deposits to

**TOTAL DEPOSIT**

Dollars	Cents

E\*TRADE Securities LLC  
 PO Box 484  
 Jersey City NJ 07303 0484

112920210001 900508568293

**Type of Transaction**

(Market)

- |                                 |                             |
|---------------------------------|-----------------------------|
| 1 NYSE                          | 5 CBOE                      |
| 2 NYSE American                 | 6 Over the Counter          |
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**BALTIMORE MD 21230-1202**

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Rev 06/20 31237B04


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**Investment Account**
**TRADE CONFIRMATION**
**Account Number** XXXX 6829

**Account Name**  
 ALEXANDER YON

**E\*TRADE Securities LLC**  
 PO Box 484  
 Jersey City NJ 07303 0484  
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TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
11/30/21	12/02/21	6 1	MMAT	BUY	66	\$3 5077	Cash	PRINCIPAL	\$231 51
<b>META MATLS INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$231 51</b>

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 Mail deposits to

**TOTAL DEPOSIT**

Dollars	Cents

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 PO Box 484  
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113020210001 900508568293


**Type of Transaction  
(Market)**

- |                                 |                             |
|---------------------------------|-----------------------------|
| 1 NYSE                          | 5 CBOE                      |
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The ratings that appear in the description of some fixed-income securities have been obtained from rating services that we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a rating is not available will be marked "Not Rated." A withdrawn rating "WR" signifies the removal of a rating on either an obligation or issuer. Confirmations related to fixed income transactions may reflect a mark up or mark down, both as a dollar and percentage value. The mark up or mark down dollar value is calculated as the execution price less the prevailing market price (PMP) multiplied by the quantity. The mark up or mark down percentage value is calculated as the mark up or mark down dollar value divided by the PMP. Trades with a mark-up or mark-down value of N/A indicate a negative mark-up (i.e. the sale of the security was at a price lower than the PMP).

Final prospectus and/or offering documentation is available upon request in connection with your purchase of a security where the issuer is deemed to control, be controlled by, or under common control with ETS (i.e. affiliated securities).

Additional Fees may include a broker assist fee, an order handling fee and/or market center charges. ETS will charge a fee if you request to have certificates issued in your name and mailed to you.

**ALEXANDER YON  
1462 DESOTO RD  
BALTIMORE MD 21230-1202**

**Payment for Order Flow Disclosure**

The SEC (as well as FINRA) requires that all broker-dealers inform their customers when a new account is opened and on an annual basis thereafter of payment for order flow practices (compensation received for placing orders through specialists on national securities exchanges, over-the-counter market makers, alternative trading systems and ECNs (collectively "market centers"). Consistent with the overriding principle of best execution, ETS routes orders to various market makers, including its affiliate Morgan Stanley & Co. LLC. ETS receives remuneration (generally in the form of per share cash payments or through profit sharing arrangements) for routing orders in securities to particular market centers for execution. Such remuneration is considered compensation to ETS, and the source and amount of any compensation received in connection with your transaction will be disclosed to you upon written request. ETS, absent instructions from you to the contrary, takes a number of factors into consideration in determining where to route customers' orders, including the speed of execution, price improvement opportunities (executions at prices superior to the then prevailing inside market), automatic execution guarantees, the availability of efficient and reliable order handling systems, the level of service provided, the cost of executing orders, whether it will receive cash or non-cash payments for routing order flow, and reciprocal business arrangements.

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Rev 09/20 123 B04


**E\*TRADE Securities**  
**Investment Account**
**TRADE CONFIRMATION**
**Account Number** XXXX 6829

**Account Name**  
 ALEXANDER YON

**E\*TRADE Securities LLC**  
 PO Box 484  
 Jersey City, NJ 07303 0484  
 1 800 ETRADE 1 (1 800 387 2331)  
 etrade.com

**Customer Update**

Keep your information up to date. Check that your profile is current and correct for security purposes. Visit etrade.com/myprofile to review your account info.

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
12/06/21	12/08/21	6 1	MMAT	BUY	60	\$2 9851	Cash	PRINCIPAL	\$179 11
<b>META MATLS INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$179 11</b>

12/06/21	12/08/21	6 1	MMAT	BUY	29	\$2 985	Cash	PRINCIPAL	\$86 57
<b>META MATLS INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$86 57</b>

12/06/21	12/08/21	6 1	MSFT	BUY	1	\$320 89	Cash	PRINCIPAL	\$320 89
<b>MICROSOFT CORP</b>								<b>NET AMOUNT</b>	<b>\$320 89</b>

12/06/21	12/08/21	6 1	NVDA	BUY	3	\$291 645	Cash	PRINCIPAL	\$874 94
<b>NVIDIA CORP</b>								<b>NET AMOUNT</b>	<b>\$874 94</b>

12/06/21	12/08/21	6 1	TSLA	BUY	1	\$985 7363	Cash	PRINCIPAL	\$985 74
<b>TESLA INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$985 74</b>

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 BALTIMORE MD 21230 1202

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 Make checks payable to E\*TRADE Securities LLC  
 Mail deposits to

**TOTAL DEPOSIT**

 E\*TRADE Securities LLC  
 PO Box 484  
 Jersey City, NJ 07303 0484

Dollars	Cents

120620210001 900508568293




**Type of Transaction  
(Market)**

- |                                 |                             |
|---------------------------------|-----------------------------|
| 1 NYSE                          | 5 CBOE                      |
| 2 NYSE American                 | 6 Over the Counter          |
| 3 Other US Registered Exchanges | 7 Underwriting              |
| 4 Mutual Funds                  | 8 Foreign Exchange or Other |

**(Capacity in which your broker is acting)**

- 1 2 3 4 5 8 As agent  
 6 As agent for both buyer and seller The commission charged to the party on the other side of the transaction will be furnished upon request  
 7 As principal

If the symbol ( ) appears in the security description on the face hereof the offering of the described security has been made solely by means of the prospectus relating thereto a copy of which has been or will be delivered to you the receipt of which is acknowledged by payment hereof

If the symbol (F) appears in the security description it indicates that the security is Foreign and may be subject to interest equalization tax

If the symbol (T) appears in the security description an officer or employee of ETS is a director of the issuer of the securities involved in this transaction A control relationship may therefore exist between the issuer and ETS

Please retain this confirmation for income tax purposes Federal regulations require brokers to report proceeds from all transactions to the Internal Revenue Service

**Terms and Conditions**

Please refer to the E\*TRADE Customer Agreement for a complete discussion of the terms and conditions governing your account If you have questions regarding the E\*TRADE Customer Agreement or your account please email us through [etrade.com](mailto:etrade.com) or call 800-ETRADE 1 THE INFORMATION CONTAINED IN THIS CONFIRMATION SHALL BE BINDING UPON YOU IF YOU DO NOT OBJECT IN WRITING WITHIN TWO (2) DAYS AFTER THE CONFIRMATION IS FIRST RECEIVED BY YOU Securities products and services are offered by E\*TRADE Securities LLC (ETS) Member FINRA/SIPC Your account is carried by ETS which maintains your funds and securities deposited with ETS An affiliate of ETS may act as a principal or agent in your transaction(s)

**Applicable Rules and Regulations.** All transactions in your account shall be subject to the constitution rules regulations customs and usages of the exchange or market and its clearing house where the transactions are executed by ETS or its agents including ETS affiliates Also where applicable the transactions shall be subject to the provisions of the Securities Act of 1933 as amended the Securities Exchange Act of 1934 as amended and the rules and regulations of the Securities and Exchange Commission (SEC) the Board of Governors of the Federal Reserve System and any applicable self regulatory organization For information about FINRA's BrokerCheck Program including an investor brochure please contact FINRA at 800 289-9999 or [www.finra.org](http://www.finra.org)

Securities held on your behalf at ETS or a central clearing facility but not registered in your name are commingled with identical securities held for other customers In the event that securities so held are called by the issuer the securities to be called will be determined by an impartial and random selection system If your security is selected it will be presented for redemption and your account credited with the proceeds You have the right to withdraw uncalled fully paid securities at any time prior to a partial call and also to withdraw excess margin securities not subject to redemption

The responsibility to cancel an existing open order rests with you Any transaction that results from the execution of any orders that you have not instructed us to cancel prior to execution will be recorded in your account Market orders are subject to immediate execution and are difficult to cancel

If required payment or delivery of securities is not made by the settlement date ETS may at its option and without further notice charge interest on the amount shown on the face hereof or cancel sell out or buy in the subject securities and charge your account for any expenses losses or other costs incurred

Investments in securities are not guaranteed by ETS are not insured by the FDIC and will fluctuate with changes in market conditions When selling a security you may receive more or less than your original investment

The principal amount or commission described on the face hereof may include an Additional Fee for special services rendered Other charges may include commissions paid to affiliates of ETS local taxes transaction fees and exchange fees among others For customers who choose to convert from the currency in which the security or payment thereon is denominated the amounts provided with respect to principal interest dividend and/or yield is subject to fluctuation or applicable foreign currency exchange rates and costs including a markup charged by ETS Further details are available upon written request The name of the party with whom the transaction was made and time of execution of the transaction will be furnished upon written request

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BALTIMORE MD 21230-1202**

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Re 09/20 51237504

**E\*TRADE Securities**

Investment Account

**TRADE CONFIRMATION****Account Number** XXXX 6829**Account Name**  
ALEXANDER YON

**E\*TRADE Securities LLC**  
P O Box 484  
Jersey City NJ 07303 0484

**Customer Update**

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etrade.com/tax today

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
01/10/22	01/12/22	6 1	MMAT	BUY	100	\$2 219	Cash	PRINCIPAL	\$221 90
<b>META MATLS INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$221 90</b>
01/10/22	01/12/22	6 1	MMTLP	BUY	6 000	\$1 28	Cash	PRINCIPAL	\$7 680 00
<b>META MATLS INC PFD SER A</b>								COMMISSION	\$4 95
PERPETUAL								<b>NET AMOUNT</b>	<b>\$7 684 95</b>
01/10/22	01/12/22	6 1	NVDA	BUY	40	\$257 3395	Cash	PRINCIPAL	\$10 293 58
<b>NVIDIA CORP</b>								<b>NET AMOUNT</b>	<b>\$10 293 58</b>

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BALTIMORE MD 21230 1202

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Please do not send cash

Make checks payable to E\*TRADE Securities LLC  
Mail deposits to

**TOTAL DEPOSIT**

Dollars	Cents

E\*TRADE Securities LLC  
P O Box 484  
Jersey City NJ 07303 0484

011020220001 900508568293




**Type of Transaction  
(Market)**

- |                                 |                             |
|---------------------------------|-----------------------------|
| 1 NYSE                          | 5 CBOE                      |
| 2 NYSE American                 | 6 Over the Counter          |
| 3 Other US Registered Exchanges | 7 Underwriting              |
| 4 Mutual Funds                  | 8 Foreign Exchange or Other |

**(Capacity in which your broker is acting)**

- |  |
|--|
| 1 2 3 4 5 8 As agent   |
| 6 As agent for both buyer and seller The commission charged to the party on the other side of the transaction will be furnished upon request |
| 7 As principal   |
| 9 As agent and as principal  |

If the symbol ( ) appears in the security description on the face hereof the offering of the described security has been made solely by means of the prospectus relating thereto a copy of which has been or will be delivered to you the receipt of which is acknowledged by payment hereof

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**Applicable Rules and Regulations.** All transactions in your account shall be subject to the constitution rules regulations customs and usages of the exchange or market and its clearing house where the transactions are executed by ETS or its agents including ETS affiliates Also where applicable the transactions shall be subject to the provisions of the Securities Act of 1933 as amended the Securities Exchange Act of 1934 as amended and the rules and regulations of the Securities and Exchange Commission (SEC) the Board of Governors of the Federal Reserve System and any applicable self regulatory organization For information about FINRA's BrokerCheck Program including an investor brochure please contact FINRA at 800-288-9999 or [www.finra.org](http://www.finra.org)

Securities held on your behalf at ETS or a central clearing facility but not registered in your name are commingled with identical securities held for other customers In the event that securities so held are called by the issuer the securities to be called will be determined by an impartial and random selection system If your security is selected it will be presented for redemption and your account credited with the proceeds You have the right to withdraw uncalled fully paid securities at any time prior to a partial call and also to withdraw excess margin securities not subject to restriction

The responsibility to cancel an existing open order rests with you Any transaction that results from the execution of any orders that you have not instructed us to cancel prior to execution will be recorded in your account Market orders are subject to immediate execution and are difficult to cancel

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BALTIMORE MD 21230-1202**

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**Structured Products**

Structured Products are complex products and may be subject to special risks Investors should consider the concentration risk of owning the related security and their total exposure to any underlying asset

Rev 04/21 S1217804

**E\*TRADE Securities**

Investment Account

**TRADE CONFIRMATION**

Account Number XXXX 6829

Account Name  
ALEXANDER YON**E\*TRADE Securities LLC**

P O Box 484

Jersey City NJ 07303 0484

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TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
01/20/22	01/24/22	6 1	IONQ	BUY	35	\$11 8775	Cash	PRINCIPAL	\$415 71
								<b>NET AMOUNT</b>	<b>\$415 71</b>

IONQ INC COMMON STOCK

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
01/20/22	01/24/22	6 1	MMAT	BUY	6	\$2 0557	Cash	PRINCIPAL	\$12 33
								<b>NET AMOUNT</b>	<b>\$12 33</b>

META MATLS INC COMMON STOCK

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Mail deposits to**TOTAL DEPOSIT**

Dollars	Cents

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P O Box 484  
Jersey City NJ 07303 0484

012020220001 900508568293

**Type of Transaction**

(Market)

- |                                 |                             |
|---------------------------------|-----------------------------|
| 1 NYSE                          | 5 CBOE                      |
| 2 NYSE American                 | 6 Over the Counter          |
| 3 Other US Registered Exchanges | 7 Underwriting              |
| 4 Mutual Funds                  | 8 Foreign Exchange or Other |

(Capacity in which your broker is acting)

1 2 3 4 5 8 As agent

6 As agent for both buyer and seller. The commission charged to the party on the other side of the transaction will be furnished upon request

7 As principal

9 As agent and as principal

**Terms and Conditions**

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R4 04/21 51257B04

**E\*TRADE Securities**

Investment Account

**TRADE CONFIRMATION****Account Number** XXXX 6829**Account Name**  
ALEXANDER YON**E\*TRADE Securities LLC**

P O Box 484

Jersey City NJ 07303 0484

**Customer Update**Tax questions? No problem. Get helpful tips, tools, and key dates in the Tax Center. Visit [etrade.com/tax](http://etrade.com/tax) today.

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
01/28/22	02/01/22	6 1	AMD	BUY	10	\$101.57	Cash	PRINCIPAL	\$1,015.70
<b>ADVANCED MICRO DEVICES INC COM</b>								<b>NET AMOUNT</b>	<b>\$1,015.70</b>
01/28/22	02/01/22	6 1	CEI	BUY	1,000	\$558.10	Cash	PRINCIPAL	\$558.10
<b>CAMBER ENERGY INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$558.10</b>
01/28/22	02/01/22	6 1	MMAT	BUY	739	\$1.45	Cash	PRINCIPAL	\$1,071.55
<b>META MATLS INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$1,071.55</b>
01/28/22	02/01/22	6 1	VUZI	BUY	10	\$566.58	Cash	PRINCIPAL	\$566.66
<b>VUZIX CORPORATION COM</b>								<b>NET AMOUNT</b>	<b>\$566.66</b>
01/28/22	02/01/22	6 1	BBIG	BUY	600	\$2,819.30	Cash	PRINCIPAL	\$1,691.58
<b>VINCO VENTURES INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$1,691.58</b>
01/28/22	02/01/22	6 1	BBIG	BUY	200	\$2,779.10	Cash	PRINCIPAL	\$555.82
<b>VINCO VENTURES INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$555.82</b>

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ALEXANDER YON  
1462 DESOTO RD  
BALTIMORE MD 21230 1202

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**Use This Deposit Slip****Acct# XXXX-6829**

Please do not send cash

Make checks payable to E\*TRADE Securities LLC  
Mail deposits to**TOTAL DEPOSIT**

Dollars	Cents

E\*TRADE Securities LLC  
P O Box 484  
Jersey City NJ 07303 0484

012820220001 900508568293



Type of Transaction  
(Market)

1 NYSE	5 CBOE
2 NYSE American	6 Over the Counter
3 Other US Registered Exchanges	7 Underwriting
4 Mutual Funds	8 Foreign Exchange or Other

(Capacity in which your broker is acting)  
1 2 3 4 5 6 As agent  
6 As agent for both buyer and seller. The commission charged to the party on the other side of the transaction will be furnished upon request.  
7 As principal  
9 As agent and as principal

#### Terms and Conditions

Please refer to the E\*TRADE Customer Agreement for a complete discussion of the terms and conditions governing your account. If you have questions regarding the E\*TRADE Customer Agreement or your account, please email us through [etrade.com](mailto:etrade.com) or call 800-387-2331. THE INFORMATION CONTAINED IN THIS CONFIRMATION SHALL BE BINDING UPON YOU. IF YOU DO NOT OBJECT IN WRITING WITHIN TWO (2) DAYS AFTER THE CONFIRMATION IS FIRST RECEIVED BY YOU, Securities products and services are offered by E\*TRADE Securities LLC (ETS\*), Member SIPC and a business of Morgan Stanley. Your account is carried by ETS, which maintains your funds and securities deposited with ETS. An affiliate of ETS may act as a principal or agent in your transaction(s).

**Applicable Rules and Regulations.** All transactions in your account shall be subject to the constitution rules regulations customs and usages of the exchange or market, and its clearing house where the transactions are executed by ETS or its agents including ETS affiliates. Also where applicable the transactions shall be subject to the provisions of the Securities Act of 1933 as amended, the Securities Exchange Act of 1934 as amended and the rules and regulations of the Securities and Exchange Commission (SEC), the Board of Governors of the Federal Reserve System and any applicable self regulatory organization. For information about FINRA's BrokerCheck Program including an investor brochure please contact FINRA at 800-289-9999 or [www.finra.org](http://www.finra.org).

Securities held on your behalf at ETS or a central clearing facility but not registered in your name are commingled with identical securities held for other customers. In the event that securities so held are called by the issuer, the securities to be called will be determined by an impartial and random selection system. If your security is selected, it will be presented for redemption and your account credited with the proceeds. You have the right to withdraw uncalled fully paid securities at any time prior to a partial call and also to withdraw excess margin securities not subject to restriction.

The responsibility to cancel an existing open order rests with you. Any transaction that results from the execution of any orders that you have not instructed us to cancel prior to execution will be recorded in your account. Market orders are subject to immediate execution and are difficult to cancel.

If required payment or delivery of securities is not made by the settlement date, ETS may, at its option and without further notice, charge interest on the amount shown on the face hereof or cancel sell out or buy in the subject securities and charge your account for any expenses losses or other costs incurred.

Investments in securities are not guaranteed by ETS, are not insured by the FDIC and will fluctuate with changes in market conditions. When selling a security you may receive more or less than your original investment.

The principal amount or commission described on the face hereof may include an Additional Fee for special services rendered. Other charges may include commissions paid to affiliates of ETS, local taxes, transaction fees and exchange fees among others. For customers who choose to convert from the currency in which the security or payment thereon is denominated, the amounts provided with respect to principal interest dividend and/or yield is subject to fluctuation or applicable foreign currency exchange rates and costs including a markup charged by ETS. Further details are available upon written request. The name of the party with whom this transaction was made and time of execution of the transaction will be furnished upon written request.

Securities purchased by you or held by ETS may be hypothecated and/or commingled with securities carried for other customers.

Call features for bonds may exist and such bonds can be redeemed in whole or in part before maturity and could affect the yield. Complete information will be provided upon request. With respect to a zero coupon issue, you will not receive periodic payments and the securities may be callable at a price below maturity value. Furthermore, a zero coupon issue that is callable and in bearer form may be called without notice by mail to you unless the issues registered.

For an asset backed security (e.g. GNM, FNMA, FHLMC or GNMA) the actual yield may vary according to the rate at which the underlying assets or receivables are prepaid. Information concerning factors that affect yield including estimated yield weighted average life and prepayment assumptions are available upon request.

The ratings that appear in the description of some fixed-income securities have been obtained from rating services that we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a rating is not available will be marked Not Rated. A withdrawn rating "NVR" signifies the removal of a rating on either an obligation or issuer. Confirmations related to fixed income transactions may reflect a mark up or mark down, both as a dollar and percentage value. The mark up or mark down dollar value is calculated as the execution price less the prevailing market price (PMP) multiplied by the quantity. The mark up or mark down percentage value is calculated as the mark up or mark down dollar value divided by the PMP. Trades with a mark up or mark down value of "N/A" indicate a negative mark up (i.e. the sale of the security was at a price lower than the PMP).

Final prospectus and/or offering documentation is available upon request in connection with your purchase of a security where the issuer is deemed to control be controlled by or under common control with ETS (i.e. affiliated securities).

**Additional Fees** may include a broker assist fee, an order handling fee and/or market center charges. ETS will charge a fee if you request to have certificates issued in your name and mailed to you.

**ALEXANDER YON  
1462 DESOTO RD  
BALTIMORE MD 21230-1202**

#### Payment for Order Flow Disclosure

The SEC (as well as FINRA) requires that all broker-dealers inform their customers when a new account is opened and on an annual basis thereafter of payment for order flow practices (compensation received for placing orders through specialists on national securities exchanges, over-the-counter market makers, alternative trading systems and ECNs (collectively "market centers"). Consistent with the overriding principle of best execution, ETS routes orders to various market makers including its affiliate Morgan Stanley & Co. LLC. ETS receives remuneration (generally in the form of per share cash payments or through profit sharing arrangements) for routing orders in securities to particular market centers for execution. Such remuneration is considered compensation to ETS and the source and amount of any compensation received in connection with your transaction will be disclosed to you upon written request. ETS, absent instructions from you to the contrary, takes a number of factors into consideration in determining where to route customers orders including the speed of execution, price improvement opportunities (executions at prices superior to the then prevailing "mid" market), automatic execution guarantees, the availability of efficient and reliable order handling systems, the level of service provided, the cost of executing orders, whether it will receive cash or non-cash payments for routing order flow and reciprocal business arrangements.

Trades noted as "AVG PRICE SHOWN DETAILS ON REQ" are executed through an average price account. The price shown may represent an average of multiple executions or may represent a single execution at the price indicated. Details regarding trades done in an average price account are available upon request.

#### Structured Products

Structured Products are complex products and may be subject to special risks. Investors should consider the concentration risk of owning the related security and their total exposure to any underlying asset.

/ R~ 04/21 123 B04

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Investment Account

**TRADE CONFIRMATION**

Account Number XXXX 6829

Account Name  
ALEXANDER YON**E\*TRADE Securities LLC**

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**Customer Update**

Visit the E\*TRADE Tax Center to access tax forms (when available) plus tips and tools to help with your tax preparation Bookmark etrade.com/tax today

TRADE DATE	SETL DATE	MKT/ CPT	SYMBOL/ CUSIP	BUY/ SELL	QUANTITY	PRICE	ACCT TYPE		
02/03/22	02/07/22	6 1	ILUS	BUY	3 000	\$ 1931	Cash	PRINCIPAL	\$579 45
<b>ILUSTRATO PICTURES INTERNATIONAL INC</b>								COMMISSION	\$4 95
								<b>NET AMOUNT</b>	<b>\$584 40</b>
02/03/22	02/07/22	6 1	ISIG	BUY	10	\$13 9845	Cash	PRINCIPAL	\$139 85
<b>INSIGNIA SYSTEMS INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$139 85</b>
02/03/22	02/07/22	6 1	MMAT	BUY	50	\$1 615	Cash	PRINCIPAL	\$80 75
<b>META MATLS INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$80 75</b>
02/03/22	02/07/22	6 1	MMTLP	BUY	3 270	\$1 28	Cash	PRINCIPAL	\$4 185 60
<b>META MATLS INC PFD SER A PERPETUAL</b>								COMMISSION	\$4 95
								<b>NET AMOUNT</b>	<b>\$4 190 55</b>

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Mail deposits to**TOTAL DEPOSIT**E\*TRADE Securities LLC  
P O Box 484  
Jersey City NJ 07303 0484

Dollars	Cents

020320220001 900508568293




**Type of Transaction  
(Market)**

- |                                 |                             |
|---------------------------------|-----------------------------|
| 1 NYSE                          | 5 CBOE                      |
| 2 NYSE American                 | 6 Over the Counter          |
| 3 Other US Registered Exchanges | 7 Underwriting              |
| 4 Mutual Funds                  | 8 Foreign Exchange or Other |

(Capacity in which your broker is acting)

- 1 2 3 4 5 6 As agent  
 6 As agent for both buyer and seller. The commission charged to the party on the other side of the transaction will be furnished upon request.  
 7 As principal  
 9 As agent and as principal

If the symbol ( ) appears in the security description on the face hereof the offering of the described security has been made solely by means of the prospectus relating thereto a copy of which has been or will be delivered to you the receipt of which is acknowledged by payment hereof.

If the symbol (F) appears in the security description it indicates that the security is Foreign and may be subject to interest equalization tax.

If the symbol (T) appears in the security description an officer or employee of ETS is a director of the issuer of the securities involved in this transaction. A control relationship may therefore exist between the issuer and ETS.

Please retain this confirmation for income tax purposes. Federal regulations require brokers to report proceeds from all transactions to the Internal Revenue Service.

**Terms and Conditions**

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